



Joint Audit Committee Annual Planner 2021

January	April	July	October
JAC Self-Assessment	Annual JAC attendance record	Annual Review of JAC TOR Annual JAC report	
Annual Review of Risk Management arrangements	Annual Governance Review (Scheme of Governance, Scheme of Delegation, Financial Governance)		Review of Governance according to the ethical framework.
Review of the adequacy and effectiveness of the PCC & CC policies on H&S and EDI		Assurance on overall policy management including process for review and implementation of policies.	Review governance and assurance arrangements for partnerships, collaboration and sponsorship arrangements
Review of governance of arrangements for making grants to 3 rd Parties	Review of governance arrangements for Gifts & Hospitalities from 3 rd Parties including compliance report.	Review governance and assurance arrangements for risk management	Review governance and assurance arrangements for Treasury management
Treasury Management Mid-Year Report	Annual Treasury Management Strategy report		
Audit and Inspection Recommendations	Audit and Inspection Recommendations	Audit and Inspection Recommendations	Audit and Inspection Recommendations
External Annual Audit letter	External Audit Plan 2021/2022	Review and comment on the external letters of Representation to External Auditors	External Audit Findings Report 20/21
Internal Audit progress report	Internal Audit Plan 2021/2022	Internal Audit progress report	Internal Audit progress report including consideration of the safeguards to limit potential impairments to independence or objectivity of the internal auditor.
	External Audit Fees	Internal Audit End of Year report	Bad debt write-off
OPCC risks – details to be confirmed after risk review		OPCC Risks – details to be confirmed after risk review	Fraud Risks including effectiveness of counter fraud strategy, actions and resources.
Force Risks – details to be confirmed after risk review		Force Risks - details to be confirmed after risk review	Business Continuity Plans
Performance report	Performance report	Performance report	Performance report



	Equip lessons learned		
Major programmes update: Building the Future IT programme	Major programmes update: Building the Future IT programme	Major programmes update: Building the Future IT programme	Major programmes update: Building the Future IT Programme Wider Estates strategy annual governance review
Contract Waivers report	Contract Waivers report	Contract Waivers report	Contract Waivers report
Whistleblowing: CC Annual Report			
Whistleblowing: PCC Annual Report			Chief Constable Expenses
Annual review of whole forensic process			PCC Expenses
JAC Reports requested by exception	JAC Reports requested by exception	JAC Reports requested by exception	JAC Reports requested by exception
JAC recommendations	JAC recommendations	JAC recommendations	JAC recommendations

Last updated 15/03/2021

Governance in green

Audit in blue

Risk in red

Operational assurance in purple

Called in matters and other in brown



DRAFT AGENDA FOR JAC COMMITTEE MEETINGS

Governance

- Apologies, declarations of interests, agreement of minutes, review of action summary.
- Governance matters
- Anything else on planner relating to governance

Audit

- Treasury management matters
- External Audit matters
- Internal audit matters
- Anything else on planner relating to audit

Risk

- Force Risks
- PCC risks
- Anything else on planner relating to risk

Operational assurance

- Performance report
- Major programmes update:
 - Building the Future
 - IT programme
 - Estates strategy update
- Contract waivers report
- Anything else on planner relating to operational assurance

Called in matters

- JAC reports requested by exception
- JAC recommendations to the PPC & CC from earlier meetings

Action Summary

- Chair and minute taker action round-up from current meeting