



# OFFICE OF THE POLICE AND CRIME COMMISSIONER FOR SURREY AND SURREY POLICE

## **Internal Audit Progress Report**

**Joint Audit Committee presented to:**

**25 April 2018**

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# 1 INTRODUCTION

The internal audit plan for 2017/18 was approved by the Joint Audit Committee (JAC) in March 2017. Below provides a summary update on progress against that plan and summarises the results of our work to date.

This table informs of the audit assignments that have been completed and the impacts of those findings since the last JAC held.

We have finalised two reports since the last meeting (the reports finalised since the last meeting are shown in bold below). We have also issued three further reports in draft.

| Assignments                              | Status   | Opinion issued               | Actions agreed |          |          |
|--|--|------------------------------|----------------|----------|----------|
|  |  |                              | H              | M        | L        |
| <b>2017/18</b>                           |  |                              |                |          |          |
| Tasers (1.17/18)                         | FINAL  | Reasonable Assurance         | 1              | 2        | 3        |
| Evidential property (2.17/18)            | FINAL  | No Assurance                 | 3              | 7        | 3        |
| Fire Governance Project (3.17/18)        | FINAL  | Advisory                     | 0              | 9        | 3        |
| Follow up (4.17/18)                      | FINAL  | Little Progress              | 1              | 0        | 0        |
| OPCC Commissioning of Services (5.17/18) | FINAL  | Reasonable Assurance         | 1              | 4        | 4        |
| Financial Feeders (8.17/18)              | FINAL  | Reasonable Assurance         | 0              | 2        | 4        |
| <b>Business Interests (7.17/18)</b>      | <b>FINAL</b>                                       | <b>Substantial Assurance</b> | <b>0</b>       | <b>0</b> | <b>2</b> |
| <b>Mandatory Training (9.17/18)</b>      | <b>FINAL</b>                                       | <b>Partial assurance</b>     | <b>2</b>       | <b>3</b> | <b>0</b> |
| Follow Up – part 2                       | Draft issued 17 April 2018                         |                              |                |          |          |
| Proceeds of Crime Act                    | Draft issued 18 April 2018                         |                              |                |          |          |
| Evidential property follow up            | Draft issued 17 April 2018                         |                              |                |          |          |
| Information Governance                   | Fieldwork in progress                              |                              |                |          |          |
| IT Governance                            | Fieldwork in progress                              |                              |                |          |          |
| IT strategy peer review                  | Deferred as the peer review has not been completed |                              |                |          |          |

## 2 OTHER MATTERS

### 2.1 Changes to the 2017/18 audit plan

There have been no changes to the plan since the last meeting of the Joint Audit Committee (JAC)

### 2.2 Annual Opinions 2017/18

The JAC should note that the assurances given in our audit assignments are included within our Annual Assurance report. In particular, the JAC should note that any negative assurance opinions will need to be noted in the annual report and may result in a qualified or negative annual opinion.

We have issued one final report with a no assurance opinion for 2017/18 in the area of Evidential Property, this will not in isolation qualify the year end opinions.

### 2.3 2018/19 Plan

We have met with management to discuss areas for review by internal audit in 2018/19, and our proposed plan will be presented as a separate agenda item to the Audit Committee for approval.

### 2.4 Added value work

We have issued one further client briefing since the last Joint Audit Committee this included the following areas:

#### Technical update

- Cyber security and information risk guidance for audit committees
- 2017/18 police inspection programme and framework
- Modern slavery and human trafficking
- Police pay award
- Routine arming survey results
- Police workforce in the digital age
- Tackling abuse of position
- Lifecycle contract management is key to maximising value from your contracts

## FOR FURTHER INFORMATION CONTACT

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