



OFFICE OF THE POLICE AND CRIME COMMISSIONER FOR SURREY AND SURREY POLICE

Internal Audit Progress Report

Joint Audit Committee presented to:

18 January 2017

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1 INTRODUCTION

The internal audit plan for 2017/18 was approved by the Joint Audit Committee in March 2017. Below provides a summary update on progress against that plan and summarises the results of our work to date.

This table informs of the audit assignments that have been completed and the impacts of those findings since the last Joint Audit Committee held.

We have finalised six reports since the last meeting (the reports finalised since the last meeting are shown in bold below). We have also issued one further report in draft.

Assignments	Status	Opinion issued	Actions agreed		
			H	M	L
2017/18					
Tasers (1.17/18)	FINAL	Reasonable Assurance	1	2	3
Evidential property (2.17/18)	FINAL	No Assurance	3	7	3
Fire Governance Project (3.17/18)	FINAL	Advisory	0	9	3
Follow up (4.17/18)	FINAL	Little Progress	1	0	0
OPCC Commissioning of Services (5.17/18)	FINAL	Reasonable Assurance	1	4	4
Financial Feeders (8.17/18)	FINAL	Reasonable Assurance	0	2	4
Business Interests (7.17/18)	Draft report issued 30 November 2017				
Follow Up – part 2	Fieldwork in progress				
Mandatory Training	Starts 28 January 2018				
Estates Strategy and Disposals	Starts 12 February 2018				
Information Governance	Starts 12 February 2018				
IT Governance	Starts 26 February 2018				
IT strategy peer review	Starts 26 February 2018				
Proceeds of Crime Act	Starts 5 March 2018				

2 OTHER MATTERS

2.1 Changes to the 2017/18 audit plan

Since the last meeting of the Joint Audit Committee the coverage of our IT audits has been agreed and dates for these reviews have been circulated. We are awaiting management confirmation of the proposed dates.

We have also agreed to remove the review of small assets/uniform from the internal audit plan for 2017/18 and replace this with a review of allowances.

We have also completed a Financial Forecasting review, which was reported to the Joint Audit Committee in November 2017.

2.2 Annual Opinions 2017/18

The JAC should note that the assurances given in our audit assignments are included within our Annual Assurance report. In particular, the JAC should note that any negative assurance opinions will need to be noted in the annual report and may result in a qualified or negative annual opinion.

We have issued one final report with a no assurance opinion for 2017/18 in the area of Evidential Property, this will not in isolation qualify the year end opinions.

2.3 2018/19 Plan

We have met with management to discuss areas for review by internal audit in 2018/19, and our proposed plan will be brought to the April meeting of the Audit Committee for approval.

2.4 Added value work

We have issued one further client briefing since the last Joint Audit Committee this included the following areas:

Technical update

- Cyber security and information risk guidance for audit committees
- 2017/18 police inspection programme and framework
- Modern slavery and human trafficking
- Police pay award
- Routine arming survey results
- Police workforce in the digital age
- Tackling abuse of position
- Lifecycle contract management is key to maximising value from your contracts

FOR FURTHER INFORMATION CONTACT

Name: Daniel Harris, Head of Internal Audit

Email address: Daniel.Harris@rsmuk.com

Telephone number: +44 (0) 7792 948767

Name: Lorna Raynes, Client Manager

Email address: Lorna.Raynes@rsmuk.com

Telephone number: +44 (0)7972 004175