SURREY POLICE - PAPER FOR PCC MANAGEMENT MEETING

Capital Report 2014/15 Month 12 and 2015/16 New Schemes Update

1. **Purpose**

   1.1. This report presents the Force's capital year end position for 2014-15 and the amended capital programme for 2015-16 following a number of bids received post the Police and Crime Commissioner (PCC) Approved Budget and the schemes that have requested approval to carry forward unspent budget from 2014-15.

2. **Summary**

   2.1. There are four aspects covered within this paper;

   2.1.1. To provide a commentary on the progress made on capital projects during 2014/15 and the funding sources for the programme.

   2.1.2. To establish the schemes with budget in 2014/15 that have not completed and request that the budget is carried forward into 2015/16.

   2.1.3. To gain approval for the funding of schemes that have been brought forward since the 2015/16 capital budget was approved by the PCC.

   2.1.4. To advise of the revised capital monitoring regime that will operate in 2015/16 in response to the risk of not employing capital resources in the most effective manner.

3. **Progress on Capital Projects**

   3.1. The 2014/15 capital budget was £10.1m plus £1.6m grant or revenue funded against which expenditure of £5.7m was incurred, an underspend of £6.0m. Of this figure £4.6m is requested to carry forward into 2015/16 and the remaining is resource returned. The year end position for each scheme is provided at appendix A.

   3.2. The following is a brief narrative on each scheme in portfolio holder order;

   3.3. **Chief Information Officer (CIO)**

   3.3.1. ICT Infrastructure – budget of £1.4m which due to the move to the BT data centre has allowed the planned investment in replacement servers to be avoided and deliver an under spend of £0.3m which is not proposed for a carry forward.

   3.3.2. Information Architecture – budget of £0.3m to create an Electronic Document and Records Management System with expenditure of £0.1m with project expected to conclude in 2015/16.

3.3.3. Virtual Desktop Infrastructure – a scheme to improve log in times and give easier access to software including Microsoft Lync. Initially had a modest budget of £0.15m brought forward from the previous year, the project has required additional resource during the year. Funding to complete the project in 2015/16 has been made in addition to carry a forward request.

3.3.4. Apex Application Migration – a project to move applications from an unsupported platform with £0.1m of budget carried forward from 2014/15. The project is on hold.

3.3.5. Checkpoint Firewall – this project is to replace ageing firewall capability with a budget of £0.08m and a revenue contribution to enable the scheme to complete in 2015/16.

3.3.6. Windows 2003 Refresh – this is a joint project with Sussex to update the server estate away from Windows 2003 in order to comply with the forthcoming Public Service Network criteria. The budget is split over two years with £0.113m in 2014/15 and £0.338m in 2015/16. No expenditure has been incurred in 2014/15.

3.3.7. Public Services Network (PSN) – this is a joint project with Sussex to comply with the new Public Service Network criteria with a budget of £0.181m over two years. No expenditure has been incurred in 2014/15.

3.3.8. Gazetteer – with a budget of £0.05m and spend of £0.031m, the scheme is due to complete in 2015/16.

3.4. Assistant Chief Officer (ACO) (pending portfolio changes)

3.4.1. Vehicle Replacement – the annual replacement of the operational fleet with a budget of £2.051m which was over spent by £0.048m. More vehicles were replaced and delivered in the year and the 2015/16 budget will be reduced by the amount of the overspend.

3.4.2. OPR Estates Restructure – an allocation to allow the planned moves of teams to facilitate the rationalisation of the police buildings. A budget of £0.165m had very little expenditure as the plans for the relocation of POLIT and Digital Forensics from Camberley had not been finalised.

3.4.3. Generators Business Continuity – in response to the risk of interruption in power supply all works are complete, budget on target and the project closed.

3.4.4. Reigate Custody Refurbishment – with a budget of £0.25m no expenditure was incurred in 2014/15. A feasibility study was carried out but no decision made on which option to progress.

3.4.5. E-Recruitment – this scheme had a budget of £0.063m and has been completed with an underspend of £0.016m.

3.5. ACC Specialist Crime

3.5.1. Apollo Infrastructure – this is the installation of a national system rolled out to the Counter Terrorism network. With a budget of £0.185m which was not fully required. A further £25k of work is required to complete the installation and security sweep in October 2015. The balance of £0.133m has been released.

3.5.2. Digital Audio Interviewing Equipment – a protracted project that commenced in 2013/14 with an eventual budget of £0.607m and expenditure of £11.6k in 2014/15. The current tape based

interview recording equipment is failing and the current estimate is that the digital equipment will be in place for Autumn 2015.

3.5.3. Property Centralisation Chertsey – this project for the centralisation of evidential property and storage to Chertsey. The project had costs of £0.23m of which £0.1m was capital and is due for completion early in 2015/16.

3.5.4. Cyber Crime – A budget of £0.064m for additional equipment for the newly formed team and no expenditure in year 2014/15.

3.5.5. iBase – an allocation identified from the Intelligence Business Case of £0.1m and no expenditure in year 2014/15.

3.6. ACC Local Policing

3.6.1. Mobile Data – this scheme is partly funded from Home Office Innovation Funds, which has seen the purchase and issuing of Mobile Data Terminals. Of the £0.817m budget there is a requirement to carry forward £0.078m to complete the payment of equipment that had not arrived and equipping vehicles.

3.6.2. Enabling Neighbourhood Bases – a residual budget from previous year to allow IT network changes for police posts and an amount to cover a purchase order will need to be carried forward with the balance of £18k under spend released.

3.6.3. Salfords Custody Suite – an amount of budget had been brought forward from last year to cater for any residual costs however there have not been any and therefore this scheme is closed with an underspend of £36k.

3.6.4. E-Business Integration Technologies – this project is for the provision of a secure, service orientated, web based delivery platform for public facing digital services. Funding of £0.109m has come from the PCC and Home Office with expenditure of £85k the balance is required in 2015/16.

3.6.5. ICAD Upgrade – a budget of £0.6m to bring the ICAD system up to date and remove the risk of failure. The system went live in March 2015 and a residual payment is due to Intergraph.

3.6.6. Contact and Deployment (CC6) – a project to replace the existing failing telephony infrastructure with a budget of £0.99m, work has commenced and is expected to complete in October 2015.

3.6.7. Reigate Parking Improvement – funding of £0.075m was approved in principle but no plans have been progressed.

3.6.8. Call Handling Centre Voice Recording – a budget of £0.098m has been approved but there has been no expenditure in the year. Work to install has encountered problems and payment has been withheld until the issues are resolved.

3.7. ACC Operations

3.7.1. Automatic Number Plate Recognition (ANPR) Countywide Fixed Sites – a revenue contribution from the PCC has funded ANPR cameras at West Clandon.

3.7.2. Firearms Licensing Scanning – the budget of £80k was agreed in 2011/12. The scheme is now complete and the balance of £57k is released.

3.7.3.Burpham Tactical Firearms Unit (TFU) Base – this scheme was for the costs of making adjustments to Burpham to allow the Tactical Firearms team locate to the site. A small overspend against the £40k budget was met by the Enabling Neighbourhoods Policing Bases. The scheme is complete.

3.8. DCC

3.8.1.Police National Database – a budget was brought forward from 2013/14 and there has been no expenditure during the year 2014/15.

3.8.2.Niche Integration – a budget of £0.2m was brought forward from 2013/14 and only £25k expenditure incurred. This scheme is for integration with the mobile solution and will be channelled through the Mobile Data project in future. This scheme is closed and releases £0.2m of resources.

4. Capital Budget Carry Forward and Released

4.1. The carry forward proposals are detailed in the following tables by portfolio based on the narrative in the previous section.

4.2. CIO Carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
	ICT Infrastructure Renewal / Business Continuity	1,440,288	1,113,500	(326,788)	0
6414	Information Architecture	326,250	118,750	(207,500)	(207,500)
6415	Virtual Desktop Infrastructure	468,434	245,132	(223,302)	(223,302)
6416	Apex application Migration	103,661	29,286	(74,375)	(74,375)
6417	Checkpoint Firewall	220,346	80,000	(140,346)	(140,346)
6425	Windows 2003 Refresh (Collaboration)	113,000	0	(113,000)	(113,000)
6426	Public Services Network Upgrade (Collaboration)	23,000	0	(23,000)	(23,000)
6427	Gazetteer	50,000	31,519	(18,481)	(18,481)
CIO	**Total**	**2,744,979**	**1,618,187**	**(1,126,792)**	**(800,004)**

4.3. ACO Carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
6201	Fleet Replacement	2,051,239	2,099,084	47,845	47,845
6390	OPR Estate Restructure	165,763	7,329	(158,434)	(158,434)
6409	Generator for Business Continuity	64,001	63,757	(244)	0
6410	Reigate Custody Refurbishment	250,000	0	(250,000)	(250,000)
6418	eRecruitment	63,335	46,933	(16,402)	0
ACO	**Total**	**2,594,338**	**2,217,104**	**(377,234)**	**(360,589)**

4.4. ACC Specialist Crime carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
6419	Apollo Infastructure	185,000	26,462	(158,538)	**(25,000)**
6378	Digital Audio Interviewing (Collaboration with Sussex) -	607,271	11,555	(595,716)	**(595,716)**
6420	Property Centralisation - Chertsey	99,500	70,395	(29,105)	**(29,105)**
6421	Cyber Crime	64,042	0	(64,042)	**(64,042)**
6422	iBase	100,000	0	(100,000)	**(100,000)**
ACC SC	**Total**	**1,055,813**	**108,413**	**(947,400)**	**(813,863)**

4.5. ACC Local Policing Carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
6364	Mobile Data	816,899	628,136	(188,763)	**(78,000)**
6371	Enabling Neighbourhood Policing Bases	25,131	0	(25,131)	**(7,049)**
6377	Salford Custody Suite	36,413	1	(36,412)	**0**
6397	E-Business/Integration Technologies	109,520	84,837	(24,683)	**(24,683)**
6411	ICAD Upgrade	600,000	517,886	(82,114)	**(82,114)**
6423	Contact and Deployment Telephony (CC6)	990,000	278,543	(711,457)	**(711,457)**
6424	Reigate Parking Improvement	75,000	0	(75,000)	**(75,000)**
6412	CHC Voice Recording	98,000	0	(98,000)	**(98,000)**
ACC LP	**Total**	**2,750,963**	**1,509,402**	**(1,241,561)**	**(1,076,303)**

4.6. ACC Operations Carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
6321	ANPR Countywide - Fixed Sites	40,000	36,726	(3,274)	**(3,274)**
6404	Firearms Licensing Scanning	57,703	735	(56,968)	**0**
6413	Burpham TFU Base	50,597	50,597	(0)	**0**
ACC Op	**Total**	**148,300**	**88,058**	**(60,242)**	**(3,274)**

4.7. DCC Carry Forward Request:

Code	PROJECT	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16
	Collaboration Allocation	1,500,000		(1,500,000)	**(1,500,000)**
6405	Police National Database	27,668	0	(27,668)	**(27,668)**
6408	Niche RMS	224,506	24,685	(199,821)	**0**
DCC	**Total**	**1,752,174**	**24,685**	**(1,727,489)**	**(1,527,668)**

4.8. There are several schemes that have not spent their budget and these total £0.899m which when added to the schemes deleted in year provides £1.394m for funding new schemes which are detailed in the next section.

5. Capital Programme 2015/16 New Schemes

5.1. The capital budget for 2015/16 was approved by the PCC in February 2015 which provided funding for £7.142m, the detail of the schemes is provided at appendix B.

5.2. The additional schemes seeking funding are as follows;

    5.2.1. Virtual Desktop Infrastructure - £0.716m

    5.2.2. Child Abuse Image Database (CAID) - £0.052m (joint scheme in ICT Top 30 projects)

    5.2.3. Monitoring of Applications - £0.181m

    5.2.4. Public Facing Digital - £0.188m (provisional). Further clarification in respect of the costs is required as the proposals include elements that are ongoing revenue and the level of cost sharing with Sussex.

5.3. The total additional schemes is £1.137m and which the Force requests the funding is made available from the 2014/15 funding released from underspends.

5.4. The full 2015/16 capital programme based on the proposal in this paper are detailed at appendix B where it can see the total programme proposed is £12.861m.

6. **Future Monitoring Regime**

6.1. The Force has initiated a revised capital monitoring and allocation regime for 2015/16, which will keep close attention on the capital plan and allow resources to be flexed to meet any urgent investment requirements.

6.2. The Portfolio holders with capital budget responsibility request that their project managers provide planned expenditure profiles which will be monitored and if any significant variance arises they will attend the Finance Planning and Performance Board to explain the deviation and future plans to remediate.

6.3. Schemes that do not spend in line with stated plans could have their funding removed.

6.4. Where genuine reasons for any delays are agreed the funding can be managed into future years and allow the flexibility to allow the resources to better match the demands facing the Force.

7. **Risks**

7.1. The inability to control financial expenditure and achieve value for money would impact on achieving performance objectives and attract negative attention that affects the Force's reputation.

8. **Decision[s] Required**

8.1. The carry forward requests are approved by the PCC.

8.2. The PCC approves the funding of the new schemes is provided from the 2014/15 under spend.

8.3. The PCC notes and endorses the revised robust capital budget monitoring regime.

APPENDIX A

GLW105

C3 - Capital Report
Month 12 - March 2015

Surrey & Sussex
Policing Together

Code	PROJECT	Portfolio	Total 14-15 Budget	Actual Spend YTD Apr-14-Mar-15	Variance YTD	Scheme Slippage into 2015/16	Underspend Resources
	ICT Infrastructure Renewal / Business Continuity	CIO	1,440,288	1,113,500	(326,788)	0	(326,788)
6414	Information Architecture	CIO	326,250	118,750	(207,500)	(207,500)	0
6415	Virtual Desktop Infrastructure	CIO	468,434	245,132	(223,302)	(223,302)	(0)
6416	Apex application Migration	CIO	103,661	29,286	(74,375)	(74,375)	0
6417	Checkpoint Firewall	CIO	220,346	80,000	(140,346)	(140,346)	0
6425	Windows 2003 Refresh (Collaboration)	CIO	113,000	0	(113,000)	(113,000)	0
6426	Public Services Network Upgrade (Collaboration)	CIO	23,000	0	(23,000)	(23,000)	0
6427	Gazetteer	CIO	50,000	31,519	(18,481)	(18,481)	(0)
CIO	**Total**		**2,744,979**	**1,618,187**	**(1,126,792)**	**(800,004)**	**(326,788)**
6201	Fleet Replacement	ACO	2,051,239	2,099,084	47,845	47,845	(0)
6390	OPR Estate Restructure	ACO	165,763	7,329	(158,434)	(158,434)	0
6409	Generator for Business Continuity	ACO	64,001	63,757	(244)	0	(244)
6410	Reigate Custody Refurbishment	ACO	250,000	0	(250,000)	(250,000)	0
6418	eRecruitment	ACO	63,335	46,933	(16,402)	0	(16,402)
ACO	**Total**		**2,594,338**	**2,217,104**	**(377,234)**	**(360,589)**	**(16,645)**
6419	Apollo Infastructure	ACC SC	185,000	26,462	(158,538)	(25,000)	(133,538)
6378	Digital Audio Interviewing (Collaboration with Sussex) -	ACC SC	607,271	11,555	(595,716)	(595,716)	0
6420	Property Centralisation - Chertsey	ACC SC	99,500	70,395	(29,105)	(29,105)	0
6421	Cyber Crime	ACC SC	64,042	0	(64,042)	(64,042)	0
6422	iBase	ACC SC	100,000	0	(100,000)	(100,000)	0
ACC SC	**Total**		**1,055,813**	**108,413**	**(947,400)**	**(813,863)**	**(133,537)**
6364	Mobile Data	ACC LP	816,899	628,136	(188,763)	(78,000)	(110,763)
6371	Enabling Neighbourhood Policing Bases	ACC LP	25,131	0	(25,131)	(7,049)	(18,082)
6377	Salford Custody Suite	ACC LP	36,413	1	(36,412)	0	(36,412)
6397	E-Business/Integration Technologies	ACC LP	109,520	84,837	(24,683)	(24,683)	0
6411	ICAD Upgrade	ACC LP	600,000	517,886	(82,114)	(82,114)	(0)
6423	Contact and Deployment Telephony (CC6)	ACC LP	990,000	278,543	(711,457)	(711,457)	0
6424	Reigate Parking Improvement	ACC LP	75,000	0	(75,000)	(75,000)	0
6412	CHC Voice Recording	ACC LP	98,000	0	(98,000)	(98,000)	0
ACC LP	**Total**		**2,750,963**	**1,509,402**	**(1,241,561)**	**(1,076,303)**	**(165,258)**
6321	ANPR Countywide - Fixed Sites	ACC Op	40,000	36,726	(3,274)	(3,274)	0
6404	Firearms Licensing Scanning	ACC Op	57,703	735	(56,968)	0	(56,968)
6413	Burpham TFU Base	ACC Op	50,597	50,597	(0)	0	(0)
ACC Op	**Total**		**148,300**	**88,058**	**(60,242)**	**(3,274)**	**(56,968)**
	Collaboration Allocation		1,500,000		(1,500,000)	(1,500,000)	0
6405	Police National Database	DCC	27,668	0	(27,668)	(27,668)	0
6408	Niche RMS	DCC	224,506	24,685	(199,821)	0	(199,821)
DCC	**Total**		**1,752,174**	**24,685**	**(1,727,489)**	**(1,527,668)**	**(199,821)**
6301	Deleted Schemes		495,151	0	(495,151)	0	(495,151)
	No specific Scheme		110,984	110,984	0	0	0
	Totals		**11,652,702**	**5,676,831**	**(5,975,871)**	**(4,581,701)**	**(1,394,170)**

APPENDIX B

DESCRIPTION		Portfolio Lead	2015/2016	In Year additions	C/F	Total
IT Server / Infrastructure	New	CIO	200,000			200,000
IT Desktop / Laptop	New	CIO	150,000			150,000
Network and Cabling	New	CIO	75,000			75,000
IT Microsoft Licence	New	CIO	800,000			800,000
Web Proxy Renewal	New	CIO	57,000			57,000
DMZ Link	New	CIO	50,000			50,000
Wi-Fi Ugrades	New	CIO	50,000			50,000
IL4 Refresh (Joint Scheme)	New	CIO	68,000			68,000
ICCS Upgrade (Joint Scheme)	New	CIO	45,000			45,000
Windows 2003 Refresh (Joint Scheme)	New	CIO	338,000			338,000
Public Services Network Upgrade (Joint Scheme)	New	CIO	158,000			158,000
Vehicle Fleet Replacement Programme	New	DCC	2,235,000		- 47,845	2,187,155
Estate Strategy	New	DCC	200,000			200,000
Air Conditioning	New	DCC	450,000			450,000
Mobile Data (New Phase)	New	ACC - LP	241,000		78,000	319,000
Shared Data Warehouse (Joint Scheme)	New	CIO	225,000			225,000
Enterprise Resource Planning (ERP) (Joint Scheme)	New	ACO	1,800,000			1,800,000
VDI Infrastructure (amount TBC) (Joint scheme)	New	CIO		716,000		716,000
Child Abuse Image Database CAID (Joint Scheme)	New	ACC SC		52,000		52,000
Monitoring of Applications (Joint Scheme)	New	CIO		181,000		181,000
Public Facing Digital (provisional allocation)	New	ACC LP		188,000		188,000
Information Architecture	c/f	CIO			207,500	207,500
Virtual Desktop Infrastructure	c/f	CIO			223,302	223,302
Apex application Migration	c/f	CIO			74,375	74,375
Checkpoint Firewall	c/f	CIO			140,346	140,346
Windows 2003 Refresh (Collaboration)	c/f	CIO			113,000	113,000
Public Services Network Upgrade (Collaboration)	c/f	CIO			23,000	23,000
Gazetteer	c/f	CIO			18,481	18,481
OPR Estate Restructure	c/f	ACO			158,434	158,434
Reigate Custody Refurbishment	c/f	ACO			250,000	250,000
Apollo Infastructure	c/f	ACC SP			25,000	25,000
Digital Audio Interviewing (Collaboration with Sussex) - Phas	c/f	ACC SP			595,716	595,716
Property Centralisation - Chertsey	c/f	ACC SP			29,105	29,105
Cyber Crime	c/f	ACC SP			64,042	64,042
iBase	c/f	ACC SP			100,000	100,000
Enabling Neighbourhood Policing Bases	c/f	ACC LP			7,049	7,049
E-Business/Integration Technologies	c/f	ACC LP			24,683	24,683
ICAD Upgrade	c/f	ACC LP			82,114	82,114
Contact and Deployment Telephony (CC6)	c/f	ACC LP			711,457	711,457
Reigate Parking Improvement	c/f	ACC LP			75,000	75,000
CHC Voice Recording	c/f	ACC LP			98,000	98,000
ANPR Countywide - Fixed Sites	c/f	ACC OP			3,274	3,274
Collaboration Allocation	c/f	DCC			1,500,000	1,500,000
Police National Database	c/f	DCC			27,668	27,668
TOTAL:			**7,142,000**	**1,137,000**	**4,581,701**	**12,860,701**