

**To: Joint Audit Committee**  
**Date: 16<sup>th</sup> December 2013**  
**By: Ian Perkin, Treasurer**  
**Title: Work Programme 2013/14**

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**Purpose of Report/Issue:**

The Audit Committee Work Programme for 2013/14 is outlined below. The Committee is asked to review the work plan and comment on any further changes it thinks are necessary.

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**Introduction**

1. This report outlines a proposed draft work programme for the Committee for the next twelve months.

**Recommendation**

2. The Committee is asked to approve the work programme for 2013/14
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March 2014	<ul style="list-style-type: none"> <li>• Internal Audit Plan for 2014/15</li> <li>• Internal Audit Progress Report</li> <li>• External Audit Plan for 2014/15</li> <li>• External Audit Fees</li> <li>• Police and Crime Commissioner Expenses</li> <li>• Chief Constable Expenses</li> <li>• Review of Risk Management Arrangements</li> <li>• Work Programme</li> <li>• Any Other Matters to be raised with the internal auditors</li> <li>• Force Risks – high level and shared risks</li> <li>• Monitoring of audit and inspection recommendations</li> <li>• OPCC Risk Register and Assurance Framework Work Programme</li> </ul>
June 2014	<ul style="list-style-type: none"> <li>• Internal Audit End of Year Report</li> <li>• Internal Audit Progress Report</li> <li>• External Audit Progress Report</li> <li>• Progress in producing the Annual Governance Statements and Financial Accounts for 2013/14</li> <li>• Annual Treasury Management Governance Report</li> <li>• Police and Crime Commissioner Expenses</li> <li>• Chief Constable Expenses</li> <li>• Work Programme</li> <li>• Any Other Matters to be raised with the internal auditors</li> <li>• Force Risks – high level and shared risks</li> <li>• Monitoring of audit and inspection recommendations</li> <li>• OPCC Risk Register and Assurance Framework</li> </ul>
September 2014	<ul style="list-style-type: none"> <li>• Internal Audit Progress Report</li> <li>• External Audit Annual Governance Report</li> <li>• Annual Governance Statement and Financial Statements for 2012/13</li> <li>• Whistle-blowing - comprehensive report on how the force deals with all aspects of whistle-blowing (not just the anonymous reporting system) including examples of cases</li> <li>• Police and Crime Commissioner Expenses</li> <li>• Chief Constable Expenses</li> <li>• Work Programme</li> <li>• Any Other Matters to be raised with the internal auditors</li> <li>• Force Risks – high level and shared risks</li> <li>• Monitoring of audit and inspection recommendations</li> <li>• OPCC Risk Register and Assurance Framework</li> </ul>

December 2014	<ul style="list-style-type: none"><li>● Internal Audit Progress Report</li><li>● External Audit Management Letter</li><li>● Sample testing for registering gifts, hospitality, business interests and secondary employment</li><li>● Police and Crime Commissioner Expenses</li><li>● Chief Constable Expenses</li><li>● Work Programme</li><li>● Review of Risk Management Arrangements</li><li>● Any Other Matters to be raised with the internal auditors</li><li>● Force Risks – high level and shared risks</li><li>● Monitoring of audit and inspection recommendations</li><li>● OPCC Risk Register and Assurance Framework Work Programme</li></ul>
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