

Agenda Item No.

To: Joint Audit Committee

Date: 28 July 2021

By: Chief Internal Auditor

Title: Internal Audit Update Report 2021-22

Purpose of Report:

The purpose of this paper is to provide the Joint Audit Committee with an overview of internal audit activity against assurance work completed in accordance with the approved audit plan and to provide an overview of the status of 'live' reports.

The Terms of Reference for the independent Joint Audit Committee of the Surrey Police & Crime Commissioner & the Chief Constable require that the adequacy and effectiveness of internal controls are being monitored and that appropriate and timely action is being taken to address any areas of weakness.

The report covers the audit work completed from April 2021 to date in accordance with the internal audit strategy and plan for 2021-22.

Requirement of the JAC

Members of the Committee are asked to:

Consider and make recommendations as appropriate on the progress of internal audit work for the 2021-22 internal audit plan and gain assurance from Officers that key issues raised are being addressed.

Executive Summary

1. Introduction

1.1 Under the Accounts and Audit (England) Regulations 2015, the Police and Crime Commissioner and Chief Constable are responsible for establishing and maintaining appropriate risk management processes, control systems, accounting records and governance arrangements.

1.2 Internal audit plays a vital role in advising the Police and Crime Commissioner and Chief Constable that these arrangements are in place and operating effectively.

- 1.3 In accordance with the Public Sector Internal Audit Standards and the Internal Audit Charter, the Chief Internal Auditor is required to provide a written status report to the Joint Audit Committee, summarising internal audit's performance relative to the agreed plan.
- 1.4 A summary of progress made for 2021-22 is attached at appendix 1. Key issues to highlight at this stage of the year are as follows:
- As previously reported, SIAP staff continue to work remotely, and although the impacts of the COVID-19 pandemic resulted in a slow start to the 2020-21 plan delivery, all remaining reviews relating to 2020-21 are either complete or at draft final report stage.
 - Following consultation with management, we have agreed how the planned 2021-22 will be spread across the year. Work has commenced on all reviews assigned to quarter 1, and scoping has commenced for quarter 2 reviews.

Karen Shaw
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APPENDICES

Appendix 1 = Internal Audit progress report 2021-22.