

To: Joint Audit Committee
Date: 24th March 2014
By: David Taylor, Baker Tilly
Title: Internal Audit Progress Report

Purpose of Report/Issue:

To update the Joint Audit Committee of Internal Audit's progress in achieving the 2013/14 Internal Audit Strategy since the last meeting of the Committee.

Recommendation

The Committee is invited to comment on Baker Tilly's progress to date in achieving the Internal Audit Strategy.

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The Office of the Police and Crime Commissioner for Surrey and Surrey Police Force




[Internal Audit Progress Report](#)

Audit Committee meeting 24 March 2014

Introduction

The internal audit plan for 2013/14 was approved by the Audit Committee. This report provides an update on progress against that plan and summarises the results of our work to date.

Summary of Progress against the Internal Audit Plan

Assignment <i>Reports considered today are shown in italics</i>	Status	Opinion	Actions Agreed (by priority)		
			High	Medium	Low
Governance (1.13/14)	Final	Advisory	-	-	3
Stage 2 transfer (2.13/14)	Final	Advisory	-	5	4
Business Interests (3.13/14)	Final		1	3	4
Staff Market Supplement (4.13/14)	Final		0	1	1
<i>Disposal Methods and Crime Recording (5.13/14)</i>	<i>Final</i>		0	2	1
Follow Up (6.13/14)	Draft Report Issued 8 Jan 2014				
Gifts and Hospitality (7.13/14)	Draft Report Issued 19 February 2014				
Financial Controls (General Ledger, Financial Reporting, Creditor Payments, Cash Receipting and Treasury Management, Income and Debtors)	Fieldwork completed				
Neighbourhood Engagement	Planned for March 2014				
Commissioning – Community Safety	In progress				
Commissioning – Victim Support	Planned for April 2014				
IT Application Security Review	Planned for March 2014				
Risk Management	Planned for March 2014				

Other Matters

Planning and Liaison:

We met with client management on 31 January 2014 to discuss the plan for 2014/15.

Internal Audit Plan 2013/14 – Change Control:

Management have requested that we defer the element of our Commissioning review relating to Victim Support until March/April 2014 as they are still developing the arrangements for this. We will still complete the Community Safety element of this review as planned.

We have had to postpone our review of Neighbourhood Engagement owing to staff illness on both sides, but are currently in discussions with management regarding possibly timings to reschedule this audit.

The matters raised in this report are only those which came to our attention during our internal audit work and are not necessarily a comprehensive statement of all the weaknesses that exist, or of all the improvements that may be required. Whilst every care has been taken to ensure that the information provided in this report is as accurate as possible, based on the information provided and documentation reviewed, no complete guarantee or warranty can be given with regard to the advice and information contained herein. Our work does not provide absolute assurance that material errors, loss or fraud do not exist.

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